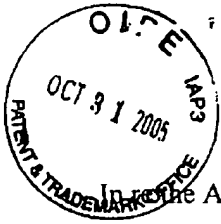


5020

DEP&REF



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Application of: **Shoji KAWAHITO**

Group Art Unit: **Not yet assigned**

Serial Number: **10/551,230**

Examiner: **Not yet assigned**

Filed: **September 27, 2005**

Confirmation Number: **Not yet assigned**

For: **TWO-STAGE A/D CONVERTER AND IMAGE SENSOR USING
THE SAME**

Attorney Docket Number: **053124**

Customer Number: **38834**

REQUEST FOR REFUND

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

October 31, 2005

Sir:

The undersigned respectfully requests a refund of 50% of the fees paid in the subject application on September 27, 2005.

The fees for the Basic National Stage Fee of \$300.00, National Stage Examination Fee of \$200.00 and National Stage Search Fee of \$400.00 paid on September 27, 2005 were that for a large entity. The applicant, however, claims herewith the small entity status. Thus, this application should be entitled to the reduced fees provided for small entities under 37 CFR 1.16.

It is respectfully requested that the 50% excess of the fees paid (\$150.00, \$100.00 and 200.00, respectively, i.e., a total of \$450.00) be credited to Deposit Account 50-2866.

Respectfully submitted,

WESTERMAN, HATTORI, DANIELS & ADRIAN, LLP

Sadao Kinashi

Sadao Kinashi

Registration No. 48,075
Telephone: (202) 822-1100
Facsimile: (202) 822-1111

Adjustment date: 12/13/2005 RWHITE1
10/31/2005 GFREY1 00000052 10551230
01 FC:1631 -300.00 CP
02 FC:1633 -200.00 CP
02 FC:1642 -400.00 CP
12/13/2005 RWHITE1 00000001 10551230
01 FC:2631 150.00 CP
02 FC:2633 100.00 CP
03 FC:2642 200.00 CP

SK/sg

Enclosures: Copy of Check Stub# 10144 for \$940.00, Transmittal Letter and Data-stamped Postcard

WESTERMAN, HATTORI, DANIELS, & ADRIAN, LLP - OPERATING ACCOUNT

Client	Matter	Invoice #	Description	Amount
4006	0003	4006 0003		300.00
4006	0003	4006 0003		400.00
4006	0003	4006 0003		200.00
4006	0003	4006 0003		40.00
COMMISSIONER FOR PATENTS AND TRADEMARKS				940.00
09/27/05 Total this stub				940.00

10144

Repln. Ref: 12/13/2005 RWHITE1 0015023500
 DAW:502866 Name/Number:10551230
 FC: 9204 \$450.00 CR

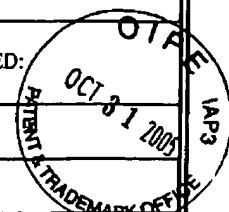
U.S. DEPARTMENT OF COMMERCE, PATENT AND TRADEMARK OFFICE		DATE: September 27, 2005
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		U.S. Application. No. (if known):
INTERNATIONAL APPLICATION NO.: PCT/JP2004/004169	INTERNATIONAL FILING DATE: March 25, 2004	PRIORITY DATE CLAIMED: March 31, 2003
TITLE OF INVENTION: TWO-STAGE A/D CONVERTER AND IMAGE SENSOR USING THE SAME		
APPLICANT(S) FOR DO/EO/US: Shoji KAWAHITO		

Applicant hereby submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 USC 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☒ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2)):
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☒ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☒ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

ITEMS 11. TO 20. BELOW CONCERN OTHER DOCUMENT(S) OR INFORMATION INCLUDED:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98 together with the international search report, PTO/SB/08 and 4 documents.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
ASSIGNEE NAME AND ADDRESS: **NATIONAL UNIVERSITY CORPORATION SHIZUOKA UNIVERSITY, Shizuoka-shi, Japan**
Please publish the assignee data with the application.
13. ☐ A preliminary amendment.
14. ☐ An Application Data Sheet under 37 C.F.R. 1.76
15. ☐ A substitute specification.
16. ☐ A change of power of attorney and/or address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 C.F.R. 1.821 - 1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information: 12 sheets of drawings.



Repln. Ref: 12/13/2005 RWHITE1 00150 3500
 DAB:502066 Name/Number:10551230
 FC: 9204 \$450.00 R

U.S. APPLICATION NO. (if known)		INTERNATIONAL APPLICATION NO. PCT/JP2004/004169		DATE: September 27, 2005	
The following fees are submitted:				CALCULATIONS	PTO USE ONLY
21. <input checked="" type="checkbox"/> Basic National Stage Fee \$300				\$ 300.00	
22. <input checked="" type="checkbox"/> National Stage Examination Fee					
If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4) \$100				\$ 200.00	
All other situations \$200					
23. <input checked="" type="checkbox"/> National Stage Search Fee				\$ 400.00	
Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority \$100					
International Search Report prepared and provided to the Office \$400					
All other situations \$500					
ENTER APPROPRIATE BASIC FEE AMOUNT =				\$ 900.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(c)).					
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
TOTAL	9 - 20 =		X \$ 50.00		
INDEPENDENT	2 - 3 =		X \$ 200.00		
Multiple dependent claims(s) (if applicable)			+ \$360.00		
TOTAL OF ABOVE CALCULATIONS =				\$ 900.00	
National Stage Application Size Fee-for each additional 50 sheets that exceeds 100 sheets \$ 250.00.					
Reduction by 1/2 for filing by small entity, if applicable. (Note 37 CFR 1.9, 1.27, 1.28).					
SUBTOTAL =				\$ 900.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)). +					
TOTAL NATIONAL FEE =				\$ 900.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +				\$ 40.00	
TOTAL FEES ENCLOSED =				\$ 940.00	
				Amount to be:	
				refunded	\$
				charged	\$
<p>a. <input checked="" type="checkbox"/> A check in the amount of \$940.00 to cover the above fees is enclosed. (\$300.00 for basic national stage fee; \$400.00 for national stage search fee; \$200.00 for national stage examination fee and \$40.00 for the assignment recordation fee);</p> <p>b. <input type="checkbox"/> Please charge my Deposit Account No. 50-2866 in the amount of \$_____ to cover the above fees.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-2866.</p> <p>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed to request that the application be restored to pending status.</p>					
Send All Correspondence To:		WESTERMAN, HATTORI, DANIELS & ADRIAN, LLP 1250 Connecticut Avenue NW, Suite 700 Washington, DC 20036 CUSTOMER NO. 38834			
Typed or Printed Name: Sadao Kinashi		Reg. No.: 48,075			
Signature <i>Sadao Kinashi</i>		Date: September 27, 2005			

SK/yap

U.S. Patent Application

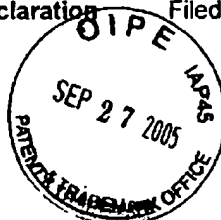
Docket No: 053124

Serial No.: New application w/declaration Filed: September 27, 2005

Applicant(s): Shoji KAWAHITO

Papers filed herewith on: 9/27/2005

FEE: \$940.00



Other: \$371 new appln-27 pages; dwgs-12 sheets; assgn.w/assgn.recordation cover sheet; IDS/PTO/SB/08, 4 docs. and int'l. search report.

COMMISSIONER OF PATENTS

Receipt is hereby acknowledged of the papers filed as indicated
in connection with the above-identified case.

SK/yap
4006-0003